



Sheet 1 of 3

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO.	APPLICATION NO.
		826.1940	10/809,841
		FIRST NAMED INVENTOR	
		Fumio FUTAMI et al.	
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		FILING DATE	GROUP ART UNIT
		March 26, 2004	2828

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
KAR	AA	5,912,911	06/15/99	Usami et al.			
KAR	AB	2002/0041618	04/11/02	Watanabe et al.			
KAR	AC	6,081,355	06/27/00	Sharma et al.			
KAR	AD	5,923,683	07/13/99	Morioka et al.			
KAR	AE	5,471,490	11/28/95	Liedenbaum et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
KAR	AF	2001-264830	09/26/02	Japan			X
KAR	AG	2002-236301	08/23/02	Japan			X
KAR	AH	6-3711	01/14/94	Japan			X
KAR	AI	6-43514	02/18/94	Japan			X
KAR	AJ	9-326528	12/16/97	Japan		X	
KAR	AK	9-318832	12/12/97	Japan			X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

			TRANSLATION YES NO	
KAR	AL	Hiroyuki TSUDA et al., "Second-and Third-Order Dispersion Compensator Using a High-Resolution Arrayed-Waveguide Grating", IEEE Photonics Technology Letter, vol. 11, No. 5, May 1999, pp. 569-571		
KAR	AM	A. M. WEINER et al., "Programmable Femtosecond Pulse Shaping by Use of a Multilement Liquid-Crystal Phase Modulator", Optics Letters, vol. 15, No. 6, March 15, 1990, pp. 326-328		

EXAMINER

DATE CONSIDERED

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U.S. PATENT DOCUMENTS

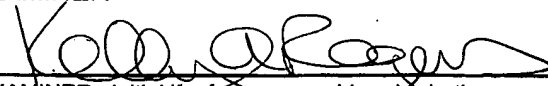
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	BA						
	BB						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES	NO
KAR	BC	2003-29645	11/30/00	Japan			Abstract	
KAR	BD	10-223959	08/21/98	Japan			Abstract	
KAR	BE	5-257176	10/08/93	Japan			Abstract	
KAR	BF	6-291398	10/18/94	Japan			Abstract	
KAR	BG	9-236834	09/09/97	Japan			Abstract	
KAR	BH	2001-60734	03/06/01	Japan			Abstract	
KAR	BI	2001-249367	09/14/01	Japan			Abstract	
KAR	BJ	2002-250946	09/06/02	Japan			Abstract	
KAR	BK	6-11750	01/21/94	Japan				X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

KAR	BM	Hiroaki SANJOH et al., "Multiwavelength Light Source with Precise Frequency Spacing Using a Mode-Locked Semiconductor Laser and an Arrayed Waveguide Grating Fiber", IEEE Photonics Technology Letters, Vol. 9, No. 6, June 1997, pp. 818-820
	BO	
	BP	
	BQ	

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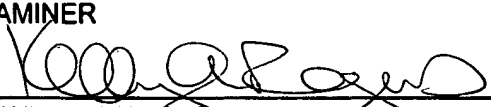
U.S. PATENT DOCUMENTS

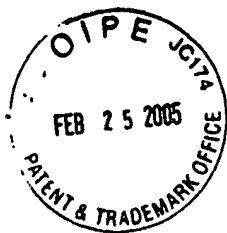
*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	CA						
	CB						
	CC						
	CD						
	CE						
	CF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES	NO
AK	CG	8-122833	05/17/96	Japan			Abstract	
AK	CH	8-234250	09/13/96	Japan			Abstract	
AK	CI	8-234249	09/13/96	Japan				X
AK	CJ	9-244076	09/19/97	Japan				X
AK	CK	2002-77052	03/15/02	Japan				X
	CL							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	CM	
	CN	
	CO	
	CP	
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KAR	AA	2002/15212	02/07/2002	Fujiwara et al.			
KAR	AB	4,655,547	04/07/1987	Heritage et al.			
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
KAR	AG	1 241 513	09/18/2002	Europe	X		
	AH						
	AI						
	AJ						
	AK						
	AL						

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TRANSLATION
YES NO

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